

KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

**Please Include The Following Information For The Preceding Calendar Year:**

Name: DEREK LEWIS

Business address: PO BOX 1746 HYDEN Kentucky 41749

Business telephone: 606-672-3084

Home address: 1001 HATCHER RD LONDON Kentucky 40741

Title of public position, or office sought: STATE REPRESENTATIVE

Other occupations of filer: SMALL BUSINESS OWNER

Occupation of spouse: ASSISTANT PRINCIPAL

**NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.**

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

OWNER/PARTNER- APPALACHIAN INVESTING STRATEGIES, LLC

HOMETOWN PHARMACY- OWNER

L AND F HOLDINGS, LLC- OWNER

HOMETOWN PHARMACY OF HAZARD, LLC- OWNER

KING PHARMACY OF HAZARD, LLC-OWNER

HYDEN PROPERTIES, INC- OWNER

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

ASSISTANT PRINCIPAL-SOUTH LAUREL HIGH SCHOOL

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

APPALACHIAN INVESTING STRATEGIES, LLC (SELF)- 1001 HATCHER RD  
LONDON, KY 40741

HOMETOWN FIREWORKS, LLC (SELF)-1001 HATCHER RD LONDON, KY 40741

L AND F HOLDINGS, LLC (SELF)- 1001 HATCHER RD LONDON, KY 40741

HOMETOWN PHARMACY OF HAZARD, LLC (SELF) 221 EAST MAIN STREET  
HAZARD, KY 41701  
KING PHARMACY OF HAZARD, LLC (SELF) 900 MORTON BLVD HAZARD, KY  
41701

FRANKLIN DYNATECH FUND- CLASS A (HELD IN IRA)  
FRANKLIN RISING DIVIDEND FUND- CLASS A (HELD IN IRA)  
FRANKLIN GROWTH FUND- CLASS A (HELD IN IRA)

AMCAP FUND (AMCPX)  
AMERICAN BALANCED FUND (ABALX)  
CAPITAL INCOME BUILDER (CAIBX)  
FUNDAMENTAL INVESTORS (ANCFX)  
THE GROWTH FUND OF AMERICA (AGTHX)  
THE INVESTMENT COMPANY OF AMERICA (AIVSX)  
NEW PERSPECTIVE FUND (ANWPX)  
AMERICAN FUNDS INFLATION LINKED BOND FUND (BFIAX)  
CAPITAL INCOME BUILDER (CAIBX)  
AMERICAN FUNDS MULTI-SECTOR INCOME FUND (MIAQX)  
THE INCOME FUND OF AMERICA (AGTHX)  
SHORT-TERM BOND FUND OF AMERICA (ASBAX)

INDIVIDUAL STOCKS:

ECOLAB, INC (ECL)  
AMAZON.COM, INC (AMZN)  
RUBICON TECHNOLOGIES, INC (RBT)  
WALT DISNEY CO (DIS)  
PUBMATIC, INC (PUBM)

Sources and form of gross income of the filer (list sources by name):

SALARY FROM KENTUCKY GENERAL ASSEMBLY  
SALARY FROM HOMETOWN PHARMACY, INC  
SALARY FROM KING PHARMACY OF HAZARD, LLC  
SALARY FROM HOMETOWN PHARMACY OF HAZARD, LLC  
INCOME FROM APPALACHIAN INVESTING STRATEGIES, LLC  
INTEREST INCOME FROM HYDEN CITIZENS BANK  
INTEREST INCOME FROM L&N CREDIT UNION

Sources and form of gross income of the filer's spouse (list sources by name):

SALARY FROM LAUREL COUNTY BOARD OF EDUCATION

Positions of a fiduciary nature in a business:

ELI'S HOPE- VICE PRESIDENT  
ARH REGIONAL BOARD-BOARD MEMBER

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

66 JOSHUA LANE WOOTON, KY 41776  
DEVELOPMENT PROPERTY IN VICCO, KY  
221 EAST MAIN STREET HAZARD, KY 41701  
130 HWY 80 HYDEN, KY 41749  
PLEASANT VALLEY ROAD BRADFORDSVILLE, KY 40009  
TRUESVILLE ROAD, OWENTON, KY 40359  
900 MORTON BLVD HAZARD, KY 41701  
1540 SALLYS BRANCH ROAD LONDON, KY 40741  
DEVELOPMENT PROPERTY on OAKVILLE LANE LONDON, KY 40741

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

N/A

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

HYDEN CITIZNS BANK  
SCOTT KING

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family.

N/A

The names of the filer's clients who are legislative agents or employers:

N/A

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes No ☒ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

N/A

State Agency

N/A

**NOTICES**

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

02/14/2023

Date

DEREK LEWIS

Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.